

SMSF Adviser

Regulatory Guide 146 (RG146) Short Course for
Financial Product Advisers



Established in 2003, we are Australia's largest privately owned training organisation focused purely on Financial Services & Business training market. Many of our graduates currently work for Australia's leading financial services institutions (including Big 4 Banks) and small businesses all around Australia.

"After completing my SMSF course with Mentor I will be returning in 2 or 3 months for the next one 'Business Succession Planning'. I think this says it all about my Mentor experience."

Anthony L Willcocks

Why Mentor Education?

Building on the strong relationships we develop with learners completing our courses, our industry experts are available by arrangement to provide ongoing mentoring on an individual or organisation-wide basis. Learners can also benefit from access to current industry information through our state-of-the-art Continuing Professional Development (CPD) program and Best Practice Review Audits.

Exemplary Student Support...Personalised Constructive Feedback

Mentor Education has gained an enviable reputation as the only financial training organisation that can deliver outstanding RG146 compliance and financial training coupled with personalised student support and customer service.

Our commitment to you

Our commitment is exemplified by the high level of student support received - our industry experts and assessors are available to personally respond to your questions and offer constructive feedback and guidance.

Student Support

To help you thrive and achieve your study goals, expert teacher support is available to you



Unlimited phone support is available Monday to Friday 9am to 5.00pm (AEST)



Unlimited email support, and responded to within 2 business days

You can get one on-one help from expert tutors via email and you are encouraged to share your work to receive feedback.

"Always fantastic service thank you. I will continue to recommend and complete my studies through Mentor. thank you!"

Emalee Vidulin

Payment Options

Mentor Education has assisted many students from a variety of backgrounds and needs.

Simply speak to your course adviser for a Payment Option that will work for you.

\$77,437

The average minimum salary
for Financial Services jobs on
SEEK in January 2015

\$97,656

The average maximum salary for
Financial Services jobs on SEEK in
January 2015

Course name

SMSF Adviser

Delivery options

Online Learning or Workshop

Course duration

Up to 9 months

Assessments

Multiple Choice Questions,
Case Studies

**Nationally recognised
unit of competencies**

Yes

Suitable for

- Client Service Officer
- Senior Paraplanner
- Financial Planner
- SMSF Adviser
- Paraplanner
- Junior Financial Planner
- Senior Financial Planner
- Accountants

SMSF Adviser

Course Overview

The Self Managed Superannuation Funds course is designed for advisers seeking the knowledge to provide advice in relation to setting-up, operating and complying with the regulatory requirements of a SMSF.

It is an ASIC requirement that financial advisers complete the minimum skills and knowledge components of the SMSF course before receiving authorisation to provide personal advice in the area of self-managed superannuation funds.

For existing authorised representatives, successful completion of this course allows you to extend your range of professional services to meet the growing needs of retail clients in this area.

Course Entry Requirements

This course is open entry. Upon successful completion of this course:

- Individuals who are RG146 compliant to give personal advice in Superannuation will be issued with a Statement of Attainment, listing the ASIC competencies achieved.
- Individuals who do not hold the above, or the Diploma of Financial Planning will receive a Statement of Completion.

Who Should Enrol?

You should enrol if you are:

- Working within the financial planning profession and looking to meet ASIC's qualification requirement as an authorised financial adviser in relation to SMSF's.

- Seeking to expand your existing SMSF skills and industry knowledge.
- Wanting to expand your revenue opportunities by providing advice on SMSF's either directly or through a referral arrangement.

Course Outcome

The SMSF course will equip you with the specialist knowledge and technical skills to provide compliant advice to clients on:

- Forming a SMSF Obligations and Responsibilities of Trustees.
- Contributing to a SMSF.
- Investments by a SMSF.
- Pensions and Withdrawals from a SMSF.
- Estate Planning in a SMSF.
- Winding up a SMSF.

Prerequisite Knowledge

The recommended knowledge requirement prior to completing SMSF is Personal Advice in Superannuation

Course Outline

Self Managed Super Funds

SMSF course will equip you with the specialist knowledge and technical skills to provide compliant advice to clients on:

Part 1	Superannuation Basics
Part 2	Introducing SMSFs
Part 3	Forming an SMSF
Part 4	Obligations and Responsibilities of Trustees
Part 5	Contributing to an SMSF
Part 6	Investments by an SMSF
Part 7	Investment opportunities for an SMSF
Part 8	Pensions and Withdraws from an SMSF
Part 9	Estate Planning in an SMSF
Part 10	Winding up an SMSF

CPD Points
Earned

21

Assessment

This course is assessed via completion of:

- Multiple choice knowledge test for each part.
- Short answer responses to questions relating to a case study, where you are required to apply the financial advice process.

Course Competencies

FNSSMS501	Invest in self-managed superannuation funds assets
FNSSMS601	Provide advice in self-managed superannuation funds
FNSSMS602	Apply taxation requirements when advising in self-managed superannuation funds
FNSSMS603	Apply legislative and operational requirements to advising in self-managed superannuation funds
FNSSMS505	Support trustee in the selection and performance monitoring of outsourced services

Study Options

Online Learning

Study online at your own pace, giving you the flexibility to achieve a nationally recognised qualification whilst also fulfilling your other life commitments.

Unlimited e-mail and phone support is provided by our experienced student support team between Monday to Friday, 9am – 5pm, so you're never left to feel 'lost' or stuck during your studies.

Face-to-face Instructor-led Classroom

Face-to-face class-room workshop is the fastest way to achieve your RG146 or similar qualification. Conducted either face to face or via video conference and facilitated by industry professionals skilled at making the workshop experience interactive, incorporating real life examples of today's market place.

How to Enrol

Student Enrolment Forms can be obtained via the following methods:

1300 306 146 (option 1 'new enquires')

learn@mentor.edu.au

+61 3 8317 2953 (International)

www.mentor.edu.au

To enrol, complete the Student Enrolment Form and scan/email to learn@mentor.edu.au



► FURTHER INFORMATION

Web

www.mentor.edu.au

Mail

Mentor Education
1a Scotia Gr, South Yarra
Melbourne Vic 3141

Phone

Aust: 1300 306 146
Int: +61 3 8317 2953

Fax

1300 366 170

Email

learn@mentor.edu.au

*Our friendly
student support*

