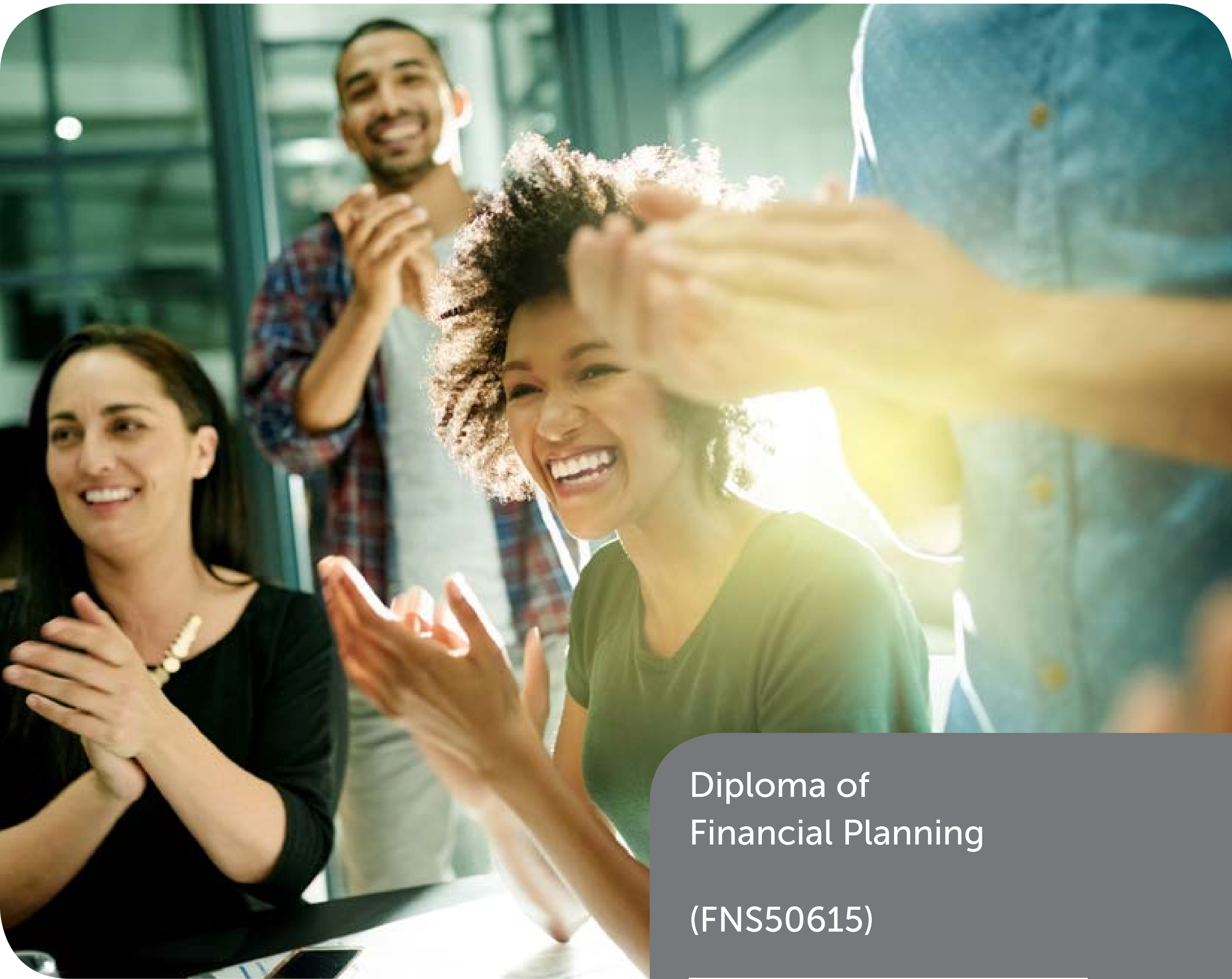

Diploma of Financial Planning

(FNS50615)

Course Overview

Mentor education

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Why Mentor Education

www.mentor.edu.au

> “I was extremely impressed with the quality of the training that you delivered ”

Tony Read - Mentor Education Diploma Graduate

Established in 2003, we are Australia’s largest privately owned training organisation focused purely on Financial Services & Business training market. Many of our graduates currently work for Australia’s leading financial services institutions (including Big 4 Banks) and small businesses all around Australia.

Building on the strong relationships we develop with learners completing our courses, our industry experts are available by arrangement to provide ongoing mentoring on an individual or organisation -wide basis. Learners can also benefit from access to current industry information through our state-of-the-art Continuing Professional Development (CPD) program and Best Practice Review Audits.

Exemplary Student Support

Mentor Education has gained an enviable reputation as the only financial training organisation that can deliver outstanding RG146 compliance and financial training coupled with personalised student support and customer service.

Our commitment to you

Our commitment is exemplified by the high level of student support received - our industry experts and assessors are available to personally respond to your questions and offer constructive feedback and guidance.

Student Support

To help you thrive and achieve your study goals, expert teacher support is available to you

Phone Support
Available

1300 306 146 **+61 3 8317 2953**
Monday to Friday 9am to 5.00pm (AEST)

Email Support
Response within two business days

You can get one on-one help from expert tutors via email and you are encouraged to share your work to receive feedback.

Payment Options

Mentor Education has assisted many students from a variety of backgrounds and needs.

Simply speak to your course adviser for a Payment Option that will work for you.

$77,437

The average minimum salary for Financial Services jobs on SEEK in January 2015

$97,656

The average maximum salary for Financial Services jobs on SEEK in January 2015

Course name	Diploma of Financial Planning
Course code	FNS50615
Delivery options	Online Learning or Workshop
Course duration	Up to 18 months
Assessments	Multiple Choice Questions, Case Studies, Telephone Role-play
Nationally recognised	Yes

Potential Jobs

- Client Service Officer
- Senior Paraplanner
- Financial Planner
- Paraplanner
- Junior Financial Planner
- Senior Financial Planner

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Course Overview

The Diploma of Financial Planning (FNS 50615) is the industry endorsed requirement for those new to the financial planning profession or transferring to a position where they are required to provide financial advice to retail clients.

It is an Australian Securities and Investment Commission (ASIC) requirement that financial advisers complete the minimum skills and knowledge components of the Diploma before receiving authorisation to provide personal advice in the specialist areas of life insurance, superannuation and investment.

Entry requirements

There are no prerequisites for enrolling in the Diploma of Financial Planning. However, it is recommended that learners have a strong grasp of literacy and numeracy.

Learners seeking to complete the Diploma must complete DFP 1 – Financial Advice before they undertake any of the specialist courses of life insurance, superannuation and investment. Financial Plan Preparation is the final assessment task.

Who Should Enrol?

You should enrol if you are:

- Working within the financial planning profession and looking to meet ASIC's qualification requirement as an authorised financial adviser.
- Wanting to enter or re-enter the industry and require the industry endorsed RG146 qualification to provide advice to retail clients.
- Seeking to expand your existing financial services skills and industry knowledge.

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Course Outcomes

The Diploma of Financial Planning will provide you with:

- The confidence to engage with a broad client base.
- The ability to identify various financial planning needs.
- The tools to assess and consider your client's risk profile.
- The practical knowledge and technical expertise to meet the objectives of retail clients
- In the areas of insurance, superannuation and investment.
- An appreciation of best practice standards in financial services.

Assessment

Assessment tasks may be a combination of:

- Short answer questions
- Case study and scenario responses
- Projects, Portfolio
- Role Plays
- Practical Demonstration
- Journal and Self-reflection exercises

Course Outline

DFP 1 - Financial Advice

Australian Industry Essentials and Fundamentals of Financial Advice must be the first subject completed before any of the specialist knowledge subject(s).

Australian Industry Essentials

Part 1	Australian Economy
Part 2	Australian Financial Markets
Part 3	Investment Funds
Part 4	Corporations Act
Part 5	Regulatory Environment
Part 6	Licensees & Representatives
Part 7	Ethics

Australian Industry Essentials

Part 1	Personal Taxation
Part 2	Social Security
Part 3	Wealth Creation Fundamentals
Part 4	Estate Planning Fundamentals
Part 5	Establishing Client Relationship
Part 6	Identify Client Objectives & Financial Situation
Part 7	Analyse Client Objectives & Financial Situation
Part 8	Develop Strategies & Solutions
Part 9	Present Strategies & Solutions
Part 10	Implement Agreed Plan
Part 11	Provide On-going Advice

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Course Outline

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DFP 2- Insurance

This specialist knowledge area will equip you with the expertise and technical skills to provide compliant life insurance advice to clients on:

Core Area

Part 1	Understanding Insurance
Part 2	Operation of Insurance Markets
Part 3	General Insurance
Part 4	Personal Insurance
Part 5	Advisory Functions
Part 6	Legal Obligations and Compliance

Specialist Area

Part 1	Life Insurance Market
Part 2	Term Life Insurance
Part 3	Total and Permanent Disability (TPD)
Part 4	Trauma Insurance
Part 5	Income Protection Insurance
Part 6	Business Insurance
Part 7	Life Insurance, Taxation and Superannuation
Part 8	Role of the Adviser

Course Outline

DFP 3 - Superannuation

This specialist knowledge area will equip you with the expertise and technical skills to provide compliant superannuation advice to clients on:

Specialist Area	
Part 1	Introduction to Superannuation
Part 2	Superannuation Eligibility
Part 3	Compulsory Contribution to Superannuation
Part 4	Tax Concessions on Superannuation
Part 5	Withdrawals on Superannuation and ETP's
Part 6	Retirement Income Streams
Part 7	Social Security Pensions
Part 8	Duties of Superannuation Trustees
Part 9	Self Managed Super Funds (SMSF's)
Part 10	Providing Superannuation Advice

DFP 4 - Investment Planning

This specialist knowledge area will equip you with the expertise and technical skills to provide compliant investment planning advice to clients on:

Specialist Area	
Part 1	Introduction to Superannuation
Part 2	Superannuation Eligibility
Part 3	Compulsory Contribution to Superannuation
Part 4	Tax Concessions on Superannuation
Part 5	Withdrawals on Superannuation and ETP's
Part 6	Retirement Income Streams
Part 7	Social Security Pensions
Part 8	Duties of Superannuation Trustees
Part 9	Self Managed Super Funds (SMSF's)
Part 10	Providing Superannuation Advice
Part 11	Taxation Issues for Investors
Part 12	Conducting Research

Course Outline

Units of Competency

Core Units

BSBITU402	Develop and use complex spreadsheets
FNSASICZ503	Provide advice in financial planning
FNSFPL501	Comply with financial planning practice ethical and operational guidelines and regulations
FNSFPL502	Conduct financial planning analysis and research
FNSFPL503	Develop and prepare financial plan
FNSFPL504	Implement financial plan
FNSFPL505	Review financial plans and provide ongoing service
FNSFPL506	Determine client financial requirements and expectations
FNSINC401	Apply principles of professional practice to work in the financial services industry

Elective Units

FNSFPL507	Provide financial planning advice
FNSFPL508	Conduct complex financial planning research
FNSIAD501	Provide appropriate services, advice and products to clients
FNSINC501	Conduct product research to support recommendations
FNSCUS505	Determine client requirements and expectations
FNSASICU503	Provide advice in superannuation
FNSASICX503	Provide advice in life insurance
FNSASICT503	Provide advice in Managed Investments
FNSASICW503	Provide advice in Securities
FNSFMK502	Analyse financial market products for client
FNSFMK503	Advise clients on financial risk
FNSCUS506	Record and implement client instructions

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Study options

www.mentor.edu.au

Online learning

Study online at your own pace, giving you the flexibility to achieve a nationally recognised qualification whilst also fulfilling your other life commitments.

Unlimited e-mail and phone support

Unlimited e-mail and phone support is provided by our experienced student support team between Monday to Friday, 9am – 5pm, so you're never left to feel 'lost' or stuck during your studies.

Face-to-face Instructor-led Classroom

Face-to-face class-room workshop is the fastest way to achieve your RG146 or similar qualification. Conducted either face to face or via video conference and facilitated by industry professionals skilled at making the workshop experience interactive, incorporating real life examples of today's market place.

How to Enrol

To enrol, you can complete one of the following options:

1300 306 146
(option 1 'new enquiries')

learn@mentor.edu.au

www.mentor.edu.au

Mail
Mentor Education
1A Scotia Grove
South Yarra
Melbourne, VIC 3141
Australia

Phone
Aust: 1300 306 146
Int: +61 3 8317 2900

Fax
1300 366 170

Email
service@mentor.edu.au

Web
www.mentor.edu.au

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